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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/074,757 Confirmation No.: 9276  
First Named Inventor: Robert J. Small Filing Date: February 11, 2002  
Group Art Unit: 2812 Examiner: Unknown  
Atty. Docket No.: M-12412 US  
Title: Catalytic Composition For Chemical-Mechanical Polishing, Method  
Of Using Same, And Substrate Treated With Samé  
Assignee: EKC Technology, Inc.

San Francisco, California  
January 17, 2003

COMMISSIONER FOR PATENTS  
Washington, D. C. 20231

**INFORMATION DISCLOSURE STATEMENT**

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Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97, and § 1.98, the documents listed on the accompanying form PTO-1449 are called to the attention of the Examiner for the above patent application. Copies of these documents are enclosed.

Citation of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made; or
3. an admission that the information listed herein is, or is considered to be, material to patentability as defined in § 1.56(b).

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Respectfully submitted,

*K. Alison de Runtz*

K. Alison de Runtz  
Attorney of Record  
Reg. No. 37,119

LAW OFFICES OF  
SKJERVEN MORRILL LLP  
San Jose, CA  
San Francisco, CA

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U.S. Department of Commerce, Patent and Trademark Office				Atty Docket No.		Application No.	
				M-12412 US		10/074,757	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Applicants		Confirmation No.	
(Use several sheets if necessary)				Robert J. Small, et al.		9276	
				Filing Date		Group	
				February 11, 2002		2812	

  

U.S. Patent Documents							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	5,084,071	Jan. 28, 1992	Nenadic et al.			
	AB	5,225,034	Jul. 6, 1993	Yu et al.			
	AC	5,958,288	Sep. 28, 1999	Mueller et al.			
	AD	6,313,039 B1	Nov. 6, 2001	Small et al.			
	AE	6,383,065 B1	May 7, 2002	Grumbine et al.			

  

Foreign Patent Documents								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	AF	96/38262	5 Dec. 1996	WIPO				
	AG	01/30928 A1	3 May 2001	WIPO				
	AH	02/33736 A1	25 Apr. 2002	WIPO				
	AI	0 831 136 A2	25 Mar. 1998	EPO				
	AJ	0 844 290 A1	27 May 1998	EPO				
	AK	0 846 742 A2	10 Jun. 1998	EPO				
	AL	0 984 048 A2	8 Mar. 2000	EPO				
	AM	1 072 662 A1	31 Jan. 2001	EPO				

  

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		

  

Examiner	Date Considered
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.</p>	